



## contact

28 Liberty Street  
New York, New York 10005  
D / 212-238-8873  
[colish@clm.com](mailto:colish@clm.com)

I come from a family of teachers, and I believe an important part of being an attorney is educating your clients on their cases and their options. At this point in my career, I'm still emphasizing education by passing along my institutional knowledge to younger attorneys.

During her highly regarded legal career that has spanned six decades, Faith Colish focused on advising broker-dealers and investment advisors on regulatory matters. In addition to financial services, Faith's practice has encompassed issues in the areas of securities, corporate, internal investigations, white collar defense, and cybersecurity and data privacy.

As she prepares to wrap up daily duties as a lawyer, Faith oversees the orderly transfer of her practice to other CLM attorneys and advising them in matters in which she has deep experience. Faith keeps herself available to answer questions from the lawyers who are taking over her work and remains on call to discuss issues with firm clients or prospective clients as needed.

Known for her deep knowledge and extensive experience in securities law, Faith draws on her background with the Securities and Exchange Commission as she continues to read and analyze securities-related information and distribute relevant material to the people who would most benefit from it.

Faith excels at helping people navigate through a byzantine maze of facts and data so they can formulate an essential question that gets to the heart of the topic they're struggling to understand. She carries this teaching technique with

her as she increases her participation in bar association task forces and undertakes pro bono work.

### **Community Connections**

- National Association of Securities Dealers, Inc. - Legal Advisory Board (1995-1998)
- Nasdaq, Inc. - Market Operations Review Committee (1996-present), Chairman (April 2005-April 2006)
- NASD Regulation Inc. - Committee to Review Use of Form U-5 (1996-1997)
- NASD Regulation Inc. - Member Admission Review Committee (1998-1999)
- Arbitrator, American Arbitration Association (former)
- Arbitrator, National Association of Securities Dealers, Inc. (former)
- Member, Advisory Board, Mutual Fund Directors Forum (2002-Present)
- Member, Best Practices Steering Committee (2004)
- Member, Working Group on Brokerage Practices (2004)
- Member, Advisory Board - The Bureau of National Affairs, Inc., Securities Regulation & Law Report (Inception to 2003)
- Member Editorial Advisory Board - Prentice Hall Law & Business, Insights
- Member, Board of Advisers, Fund Directions (inception until end of Board)
- Member, Advisory Board, Board IQ (2002-2006)

### **Presentations**

- Lecturer on topics related to broker-dealers and investment advisers, New York State Society of Certified Public Accountants, Foundation for Accounting Education
- Panelist on NASD Membership Application Rules and Membership Change Rules, 1999 Fall Securities Conference and 2002 Spring Securities Conference

### **Outside the Office**

I'm a very good cook. I also excel at completing crossword puzzles, an activity about which I'm very passionate.

### **Admissions**

#### **Bar Admissions**

New York

#### **Court Admissions**

U.S. Court of Appeals, Second Circuit

### **Affiliations**

Member, American Bar Association

Business Law Section

Committee on Federal Regulation of Securities (1980-present)

Member, Subcommittee on Market Regulation (1981-present), Vice Chairman (1985-1998)

Member, Subcommittee on Investment Advisers and Investment Companies (1995-present)

Member, NASD Corporate Financing Subcommittee (2003-present)

Committee on Middle Market and Small Business (1999-present)

Member, Private Placement Broker Task Force (1999-present; Co-Chairman – 2005-2009; Chairman – 2009- 2012; Chairman Emerita – 2012-present)

Committee on Developments in Investment Services (1983-1990)

Member, Subcommittee on Product and Service Developments (1983-1990), Chairman (1987-1990)

Administrative Law Section

Member, Committee on Securities Law (1975-1981)

Member, Association of the Bar of the City of New York

Member, Securities Regulation Committee (1976-1979; 1989-1992)

Member, Administrative Law Committee (1963-1966; 1980-1981)

Delegate to New York State Bar Association House of Delegates (1978-1981)

Member, New York County Lawyers Association

Member, Committee on Securities and Exchanges (1978-1989); Chairman (1983-1989)

Member, Nominating Committee (1984-1986; 1992-1999)

Delegate to New York State Bar Associates House of Delegates (1984-1990)

Member, Board of Governors (1986-1992)

Member, Committee on Committees (1990-1991)

Special Mediator, Complaint Mediation Panel, Departmental Disciplinary Committee, Supreme Court, Appellate Division, First Judicial Department (1989-present)

## Education

Columbia University School of Law (JD, 1960)

The University of Michigan Law School (AB in Political Science, 1956)

## Awards/Honors

*Super Lawyers*®, 2006-2007 and 2012-2023

Institutional Investor Mutual Fund Trustee of the Year, 2001, 2009

Chevalier, Ordre National du Mérite (France), 1998