

Ronald M. Feiman

Partner



contact

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I attempt to use common sense and patience, and the benefit of experience, to steer clients efficiently towards their aims. In the regulated environment in which funds and advisers find themselves, I help clients accomplish their goals through arrangements that take account of historical roadblocks and detours. I hope that clients continue to find my attitude to be even-tempered and genial, my dealings with regulators and counterparties to be non-confrontational but persistent, and my advice to be practical and creative.

Ronald M. Feiman advises clients on regulatory and compliance matters affecting investment companies and investment advisers. He provides legal counsel to service providers rendering distribution, custody and transfer agent services to registered and unregistered funds.

Ron represents investment companies, investment advisers and investment company independent directors, as well as unregistered domestic and offshore debt and equity funds, in public and private offerings of equity and debt securities, proxy solicitations, and broker-dealer regulation and compliance. His clients include funds and directors of major mutual fund complexes, liquid alternative funds launched by independent managers and by major complexes, independent directors of listed closed-end funds, and funds of hedge funds, among other entities.

In addition, his corporate experience includes mergers and business combinations; stock and asset acquisitions; and recapitalizations, management buyouts, and finance and venture capital transactions.

A certified public accountant as well as a lawyer, Ron was named the 2016 Independent Counsel of the Year at the Mutual Fund Industry Awards. He has been recognized by Chambers USA and Legal 500 as a leading practitioner — a lawyer who is “very well-respected in the asset management industry” (Chambers USA 2015) and “lauded in particular for his expertise in regulatory matters.” (Chambers USA 2016)

Experience

- Represents funds and directors of major mutual fund complexes, such as the New York Oppenheimer Funds.
- Represents independent directors of listed closed-end funds, such as KKR Income Opportunities Fund.
- Represents liquid alternative funds launched by independent managers, such as Bluepoint Trust, and by major complexes.
- Represents funds of hedge funds, such as Skybridge Multi-Advisor Hedge Fund Portfolios.

Publications

- [Moves Toward Coherent Regulation of Crypto Products](#), February 5, 2025
- [Understanding Tech Terms: Cybersecurity, Data Privacy, Cryptocurrency, and AI — Part VI](#), October 28, 2024
- [SEC Adopts Cybersecurity-Related Amendments to Regulation S-P for Market Participants](#), May 23, 2024
- [Part II: New York’s Regulation of VASPs](#), April 25, 2024
- [Significant Developments in Private Fund Adviser Regulation 2023](#), March 22, 2024
- [Part I: Regulation of Virtual Assets and Virtual Asset Service Providers](#), March 19, 2024
- [Significant Case Law Developments For M&A Practitioners in 2023](#), March 6, 2024
- [Significant Corporate and Securities Law Developments in 2023](#), February 28, 2024

Practices

Corporate

Financial Services Regulation and Enforcement

Securities

Admissions

Bar Admissions

New York

Affiliations

Advisory Board Member, Mutual Fund Directors Forum

Member, Association of the Bar of the City of New York

Committee on Investment Management Regulation (2004-2007; 2009-present)

Member, American Bar Association

Section of Business Law

Committee on Federal Regulation of Securities

Subcommittee on Investment Companies and Investment Advisers

Program Coordinator, New York City ‘40 Acts Committee (a group of lawyers that meets regularly to discuss legal issues affecting the financial services industry)

Certified Public Accountant

Appointed Member, RIC/REIT/REMIC

Subcommittee, AICPA Tax Division (1983-1985)

Education

New York University School of Law (JD, 1977)

New York University (MBA, 1977)

Yale University (BA, 1972)

Awards/Honors

Thomson Reuters' *New York Super Lawyers*, 2012, 2016-2021, 2024

Legalease's *Legal 500 US*, 2009, 2014-2018

Chambers and Partners' *Chambers USA*, 2013-2016

Independent Counsel of the Year, Mutual Fund Industry, 2016